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**Examiner Wilbert Starks** 

Art Unit 2121

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703-746-7240 571-272-3691

MESSAGE

Serial No.:

In re Application of: Mark D. Levedahl

10/086,988

Filed:

February 28, 2002

Group No.:

2121

Confirmation No.:

Title:

METHOD AND SYSTEM FOR ASSIGNING OBSERVATIONS

PHANTIL

Attorney Docket No.: 064749.0141

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
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SUITE 600 DALLAS, TX			ART UNIT	PAPER NUMBER	
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8)[_]	Claim(s) are subject to restriction and/or	election requirement.		
	Claim(s)is/are objected to.		•	
	Claim(s) <u>1-40</u> is/are rejected.			
5)	Claim(s) is/are allowed.			
	4a) Of the above claim(s) is/are withdraw	n from consideration.		
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#### **DETAILED ACTION**

### Claim Rejections - 35 USC § 101

1. 35 U.S.C. §101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

the invention as disclosed in daims 1-40 is directed to non-statutory subject matter.

2. Regardless of whether any of the claims are in the technological arts, none of them is limited to practical applications in the technological arts. Examiner finds that *In re Warmerdam*, 33 F.3d 1354, 31 USPQ2d 1754 (Fed. Cir. 1994) controls the 35 USC §101 issues on that point for reasons made clear by the Federal Circuit in *AT&T Corp. v. Excel Communications, Inc.*, 50 USPQ2d 1447 (Fed. Cir. 1999). Specifically, the Federal Circuit held that the act of:

...[T]aking several abstract ideas and manipulating them together adds nothing to the basic equation. *AT&T v. Excel* at 1453 quoting *In re Warmerdam*, 33 F.3d 1354, 1360 (Fed. Cir. 1994).

Examiner finds that Applicant's "observation" references are just such abstract ideas.

3. Examiner bases his position upon guidance provided by the Federal Circuit in *In* re Warmerdam, as interpreted by AT&T v. Excel. This set of precedents is within the same line of cases as the Alappat-State Street Bank decisions and is in complete

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agreement with those decisions. Warmerdam is consistent with State Street's holding that:

Today we hold that the transformation of data, representing discrete dollar amounts, by a machine through a series of mathematical calculations into a final share price, constitutes a practical application of a mathematical algorithm, formula, or calculation because it produces 'a useful, concrete and tangible result" — a final share price momentarily fixed for recording purposes and even accepted and relied upon by regulatory authorities and in subsequent trades. (emphasis added) State Street Bank at 1601.

- 4. True enough, that case later eliminated the "business method exception" in order to show that business methods were not per se nonstatutory, but the court clearly *did* not go so far as to make business methods *per se statutory*. A plain reading of the excerpt above shows that the Court was *very specific* in its definition of the new *practical application*. It would have been much easier for the court to say that "business methods were per se statutory" than it was to define the practical application in the case as "...the transformation of data, representing discrete dollar amounts, by a machine through a series of mathematical calculations into a final share price..."
- 5. The court was being very specific.
- 6. Additionally, the court was also careful to specify that the "useful, concrete and tangible result" it found was "a final share price momentarily fixed for recording purposes and even accepted and <u>relied upon</u> by regulatory authorities and in subsequent <u>trades</u>." (i.e. the trading activity is the <u>further practical use</u> of the real world

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monetary data beyond the transformation in the computer -- i.e., "post-processing activity".)

- 7. Applicant cites no such specific results to define a useful, concrete and tangible result. Neither does Applicant specify the associated practical application with the kind of specificity the Federal Circuit used.
- 8. Furthermore, in the case In re Warmerdam, the Federal Circuit held that:

...[The dispositive issue for assessing compliance with Section 101 in this case is whether the claim is for a process that goes beyond simply manipulating 'abstract ideas' or 'natural phenomena' ... As the Supreme Court has made clear, '[a]n idea of itself is not patentable, ... teking several abstract ideas and manipulating them together adds nothing to the basic equation. In re Warmerdam 31 USPQ2d at 1759 (emphasis added).

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- 9. Since the Federal Circuit held in *Warmerdam* that this is the "dispositive issue" when it judged the usefulness, concreteness, and tangibility of the claim limitations in that case, Examiner in the present case views this holding as the dispositive issue for determining whether a claim is "useful, concrete, and tangible" in similar cases.

  Accordingly, the Examiner finds that Applicant manipulated a set of abstract "observations" to solve purely algorithmic problems in the abstract (i.e., what *kind* of "observations" are used? Algebraic word problems? Boolean logic problems? Fuzzy logic algorithms? Probabilistic word problems? Philosophical ideas? Even vague expressions, about which even reasonable persons could differ as to their meaning? Combinations thereof?) Clearly, a claim for manipulation of "observations" is provably even more abstract (and thereby less limited in practical application) than pure "mathematical algorithms" which the Supreme Court has held are <u>per se</u> nonstatutory—in fact, it *includes* the expression of nonstatutory mathematical algorithms.
- 10. Since the claims are not limited to <u>exclude</u> such abstractions, the broadest reasonable interpretation of the claim limitations <u>includes</u> such abstractions. Therefore, the claims are impermissibly abstract under 35 U.S.C. 101 doctrine.

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- 11. Since Warmerdam is within the Alappat-State Street Bank line of cases, it takes the same view of "useful, concrete, and tangible" the Federal Circuit applied in State Street Bank. Therefore, under State Street Bank, this could not be a "useful, concrete and tangible result". There is only manipulation of abstract ideas.
- 12. The Federal Circuit validated the use of *Warmerdam* in its more recent *AT&T*Corp. v. Excel Communications, Inc. decision. The Court reminded us that:

Finally, the decision in In re Warmerdam, 33 F.3d 1354, 31 USPQ2d 1754 (Fed. Cir. 1994) Is not to the contrary. \*\*\* The court found that the claimed process did nothing more than manipulate basic mathematical constructs and concluded that 'taking several abstract ideas and manipulating them together adds nothing to the basic equation'; hence, the court held that the claims were properly rejected under §101 ... Whether one agrees with the court's conclusion on the facts, the holding of the case is a straightforward application of the basic principle that mere laws of nature, natural phenomena, and abstract ideas are not within the categories of inventions or discoveries that may be patented under §101. (emphasis added) AT&T Corp. v. Excel Communications, Inc., 50 USPQ2d 1447, 1453 (Fed. Cir. 1999).

- 13. Remember that in *In re Warmerdam*, the Court said that this was the dispositive issue to be considered. In the *AT&T* decision cited above, the Court reaffirms that this is the issue for assessing the "useful, concrete, and tangible" nature of a set of claims under 101 doctrine. Accordingly, Examiner views the *Warmerdam* holding as the dispositive issue in this analogous case.
- 14. The fact that the invention is merely the manipulation of abstract ideas is clear. The data referred to by Applicant's phrase "information as natural language" is simply an abstract construct that does not limit the claims to the transformation of real world data (such as monetary data or heart rhythm data) by some disclosed process. Consequently, the necessary conclusion under AT&T, State Street and Warmerdam, is

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straightforward and clear. The claims take several abstract ideas (i.e., "information as natural language" in the abstract) and manipulate them together adding nothing to the basic equation. Claims 1-22 are, thereby, rejected under 35 U.S.C. 101.

15. Regarding the "system" recitals in claims 22 – 40, the invention is still found to be nonstatutory. Any other finding would be at variance with current case law. Specifically, the Federal Circuit held in *AT&T v. Excel*, 50 USPQ2d 1447 (Fed. Cir. 1999) that:

Whether stated implicitly or explicitly, we consider the scope of Section 101 to be the same regardless of the form — machine or process—in which a particular claim is drafted. AT&T v. Excel, 50 USPQ2d 1447, 1452 citing In re Alappat, 33 F.3d at 1581, 31 USPQ2d at 1589 (Rader, J., concurring) (emphasis added.)

16. Examiner considers the scope of Section 101 to be the same regardless of whether Applicant *claims* a "process", "machine", or "product of manufacture". While the "system" recitals in the preambles of claims 22 – 40 make the claims ostensibly drawn to be "apparatus" claims, they are insufficient by themselves to <u>limit</u> the claims to statutory subject matter. Examiner's position is clearly consistent with *Alappat*, and *AT&T* and is implicitly consistent with *Warmerdam* and *State Street*. Accordingly, those claims are also properly rejected.

#### Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the

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art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-40 are rejected under 35 USC 112, first paragraph because current case law (and accordingly, the MPEP) require such a rejection if a 101 rejection is given because when Applicant has not in fact disclosed the practical application for the invention, as a matter of law there is no way Applicant could have disclosed how to practice the *undisclosed* practical application. This is how the MPEP puts it:

("The how to use prong of section 112 incorporates as a matter of law the requirement of 35 U.S.C. 101 that the specification disclose as a matter of fact a practical utility for the invention.... If the application fails as a matter of fact to satisfy 35 U.S.C. § 101, then the application also fails as a matter of law to enable one of ordinary skill in the art to use the invention under 35 U.S.C. § 112."); In re Kirk, 376 F.2d 936, 942, 153 USPQ 48, 53 (CCPA 1967) ("Necessarily, compliance with § 112 requires a description of how to use presently useful inventions, otherwise an applicant would anomalously be required to teach how to use a useless invention."). See, MPEP 2107.01(IV), quoting In re Kirk (emphasis added).

Therefore, claims 1-40 are rejected on this basis.

#### Conclusion

- 17. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.
- A. Cho et al (U.S. Patent Number 6,480,991 B1; dated 12 November 2002; class 716; subclass 008) discloses timing-driven global placement based on geometry-aware timing budgets.

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Page 9

- B. Floratos et al (U.S. Patent Number 6,092,065; dated 18 July 2000; class 707; subclass 006) discloses method and apparatus for discovery, clustering and classification of patterns in 1-dimensional event streams.
- C. Ashar et al (U.S. Patent Number 5,522,063; dated 28 May 1996; class 716; subclass 004) discloses method of finding minimum-cost feedback-vertex sets for a graph for partial scan testing without exhaustive cycle enumeration.

Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Wilbert L. Starks, Jr. whose telephone number is (703) 305-0027.

Alternatively, inquiries may be directed to the following:

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Non-Official/Draft (FAX)

(703) 746-7240

WLS

21 March 2004

Wilbert L. Starks, Jr.
Wilbert L. Starks, Jr.
Primary Examiner
Primary Examiner

Notice of References Cited				Application/Control No. 10/086,988	Applicant(s) Reexaminat LEVEDAHL	Patent Under Ion - MARK D.	
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	·			Wilbert L. Starks, Jr.	2121	Page 1 of 1	
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	Α	US-6,480,991 B1	11-2002	Cho et al. 716/8			
	В	US-6,092,065	07-2000	Floratos et al. 707/6			
	С	US-5,522,063	05-1996	Ashar et al.		716/4	
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We project that this application will be first examined in 12 to 15 months from today.

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Phone Number: 703-306-5631 FAX Number: 703-746-7240

Applicant/Attorney Contact Information:

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Applicant(s)

Mark D. Levedahl, McLean, VA;

Domestic Priority data as claimed by applicant

Foreign Applications

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Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

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Reference(s)

Title

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Preliminary Class

713

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Applicant(s)

Mark D. Levedahl, McLean, VA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 04/02/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Method and system for assigning observations

**Preliminary Class** 

713

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any Indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

BPW

Page 1 of 1



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE NCTON, D.C. 20231 www.maptor.gov

APPLICATION NUMBER

FILINO/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/086,988

02/28/2002

Mark D. Levedahl

064749.0141 (PD 02E002)

05073 BAKER BOTTS L.L.P. 2001 ROSS AVENUE SUITE 600 DALLAS, TX 75201-2980 Filing Fee, Vath and Assignment Due: June 2, 2002

CONFIRMATION NO. 6322

OC000000007768028

Date Mailed: 04/02/2002

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$444.
  - \$360 for 20 total claims over 20.
  - \$84 for 1 Independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(1) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1314.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

Docket	Wrapper
RVF Docketed	

PAGE 18/98 \* RCVD AT 3/1/2005 4:22:19 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-2/0 \* DNIS:7467240 \* CSID: \* DURATION (mm-ss):25-56

USPTO 10/14/2004 10:22 APTO:Auto-reply fax to 214 953 703 COMPANY:

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### **Auto-Reply Facsimile Transmission**



TO:

Fax Sender at 214 953 6503

Fax Information Date Received: Total Pages:

10/14/2004 10:04:43 AM [Eastern Daylight Time] 16 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

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2001 ROSS AVENUE DALLAS, TEXAS 75201-2980 214.953.6500 FAX 214.953.6503 www.bakerbetts.com

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Glenda Orrantia October 14, 2004

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RETURN TO Glenda Orrantia Legal Assistant

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Examiner Wilbert L. Starks Art Unit 2121

U.S. Patent and Trademark Office

FAX NO.

703-872-9306 VOICE NO. 703-305-0027

MESSAGE

in re Application of: Mark D. Levedahl

Serial No.: Fled:

10/086,988

February 28, 2002

Broup No.:

litie:

Method and System for Assigning Observations

Attorney Docket No.: 064749,0141

### PLEASE DELIVER IMMEDIATELY TO EXAMINER WILBERT **STARK**

Examiner Stark:

Pursuant to your voice message, please find attached a true and correct copy of our Response Pursuant to 37 C.F.R. § 1.111, which was filed in connection with your Office Action nailed March 26, 2004. Also attached is a copy of our return postcard which acknowledges eceipt of the Response with the PTO on June 2, 2004.

Date: October 14, 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mark D. Levendahl

RECEIVED

MAR 0 1 2005

Serial No.:

10/086,988

CENTRAL FAX CENTER

Filing Date:

February 28, 2002

Examiner:

Wilbur L. Starks

Group No .:

2121

Confirmation No.:

6322

Title:

METHOD AND SYSTEM FOR ASSIGNING

**OBSERVATIONS** 

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313.1450

Dear Sir:

### LETTER TO THE EXAMINER

On November 23, 2004, Glenda Orrantia, a legal assistant with my firm, telephoned Examiner Starks regarding the Response to the Office Action mailed March 26, 2004. Ms. Orrantia informed Examiner Starks that a Response to the Office Action had been mailed via Express Mail on June 2, 2004 and that Examiner Starks had left a voice message with Ms. Orrantia on October 14, 2004 requesting that a copy of the Response be sent via facsimile to him. Attached to this letter is a true and correct copy of the Response Pursuant to 37 C.F.R. § 1.111 mailed on June 2, 2004, including a copy of the acknowledgement postcard indicating receipt of the Response with the U.S. Patent and Trademark Office ("USPTO") on June 2, 2004. Also attached is a copy of the facsimile transmittal dated October 14, 2004 and the Auto-Reply Facsimile Transmission received from the USPTO indicating receipt of the October 14, 2004 facsimile. Accordingly, Applicant is submitting this letter as a confirmation of the above-referenced conversation.

Respectfully submitted.

BAKER BOYTS L.L.P. Attorneys for Applicant

Branev P. Williams No. 40,227

PAGE 21/98 \* RCVD AT 3/1/2005 4:22:19 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-2/0 \* DNIS:7467240 \* CSID: \* DURATION (mm-ss):25-56

ATTORNEY DOCKET NO. 064749.0141

PATENT APPLICATION 10/086,988

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re Application of:

Mark D. Levedahi

**CENTRAL FAX CENTER** 

Serial No.:

10/086,988

MAR 0 1 2005

Filing Date:

February 28, 2002

Group Art Unit:

2121

Examiner:

Wilbert L. Starks

Title:

METHOD AND SYSTEM FOR ASSIGNING

**OBSERVATIONS** 

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

### RESPONSE PURSUANT TO 37 C.F.R. § 1.111

In response to the Office Action mailed March 26, 2004, Applicant respectfully requests the Examiner to reconsider the rejection of the claims in view of the following amendments and remarks. Please amend the Application as follows:

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#### IN THE CLAIMS

For the convenience of the Examiner, all pending claims of the present Application are shown below in numerical order whether or not an amendment has been made and applying the revised format guidelines of 37 C.F.R. 1.121.

1. (Currently Amended) A computerized method for assigning observations comprising:

receiving a plurality of first observations indicative of respective physical parameters positions observed by a first sensor system and receiving a plurality of second observations indicative of respective physical parameters positions observed by a second sensor system;

assigning, by a computer, a set of pairs of the first and second observations predicted to correspond to the same physical parameter position, the assigning comprising:

receiving a cost function that specifies a cost for each assigned pair, the cost not independent of the assignment of any other assigned pairs in the set of assigned pairs; and

determining the set of assigned pairs corresponding to an optimal value for the cost function by calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations.

- 2. (Original) The method of Claim I, wherein the directed graph comprises a plurality of nodes each representing an assignment hypothesis, the plurality of nodes comprising a root node, wherein each node except for the root node has an associated input arc representing an assignment decision and wherein the length of each input arc is representative of a change in an assignment score resulting from the assignment decision, wherein the plurality of nodes are grouped in a plurality of stages.
- 3. (Original) The method of Claim 1, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a network shortest path algorithm.

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- 4. (Original) The method of Claim 1, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a Dijkstra algorithm.
  - 5. (Previously Presented) The method of Claim 1, wherein the cost function

$$J_{i} - \overline{x}^{T} R^{-1} \overline{x} - \sum_{i=1}^{r} \left\{ \underbrace{\delta x_{i}^{T} S_{i}^{-1} \delta x_{i} + \ln \left[ \left| S_{i} \right| \right] - \ln \left( d_{\min} \right)}_{\overline{g}} \quad a(i) \neq 0 \right\} + \left\{ \underbrace{\ln \left( \left[ 2\pi \right]^{\omega} \left| R \right| \right)}_{0} \quad n_{a} = 0 \right\}.$$

wherein  $J_{r} = Assignment score$ 

 $\bar{x}$  = Estimate of relative bias

R = Relative registration covariance matrix

 $\delta x_i$  = State vector difference =  $A_i - B_{\sigma(i)} - \overline{x}$ 

 $S_i$  = Residual error covariance for pair  $A_i$  and  $B_{a(i)}$ 

 $d_{min} =$  Minimum determinant of a residual error matrix

g = Gate value

M = Number of first observations

 $n_a$  = Number of non-zero entries in a

a = Assignment vector:  $a_{(i)} > 0 \rightarrow A_i$  is assigned to  $B_{a(i)}$ 

 $A_i$  = The plurality of first observations

 $B_{\sigma(i)}$  = The plurality of second observations assigned to  $A_i$ 

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- 6. (Original) The method of Claim 5, wherein the relative bias,  $\bar{x}$ , of the cost function is a simple bias.
- 7. (Original) The method of Claim 5, wherein the relative bias,  $\bar{x}$ , of the cost function is a functional bias.
- 8. (Original) The method of Claim 1, wherein assigning, by a computer, comprises assigning by a processor operable to execute a computer program stored on a computer readable medium.
- 9. (Original) The method of Claim 1, wherein assigning, by a computer, comprises assigning by an application specific integrated circuit.
- 10. (Original) The method of Claim 1, wherein assigning, by a computer, comprises assigning by a digital signal processor.

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11. (Currently Amended) A computerized method for determining the Noest observation assignments comprising:

parameters positions observed by a first sensor system and receiving a plurality of second observations indicative of respective physical parameters positions observed by a second sensor system;

determining, by a computer, one or more sets of pairs of the first and second observations predicted to correspond to the same physical parameter position, the determining comprising:

receiving a cost function that specifies a cost for each assigned pair;

determining a set of assigned pairs corresponding to an optimal value for the cost function by calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of corresponding first and second observations; and

repeating the determining act until a desired number of best observation assignments is determined.

- 12. (Original) The method of Claim 11, wherein the cost specified by the cost function for each assigned pair is not independent of the assignment of any other assigned pairs in the respective set of assigned pairs.
- 13. (Original) The method of Claim 11, wherein the directed graph comprises a plurality of nodes each representing an assignment hypothesis, the plurality of nodes comprising a root node, wherein each node except for the root node has an associated input are representing an assignment decision and wherein the length of each input are is representative of a change in an assignment score resulting from the assignment decision, wherein the plurality of nodes are grouped in a plurality of stages.
- 14. (Original) The method of Claim 11, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a network shortest path algorithm.

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- 15. (Original) The method of Claim 11, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a Dijkstra algorithm.
  - 16. (Previously Presented) The method of Claim 11, wherein the cost function is  $J_{s} \overline{x}^{T} R^{-1} \overline{x} \sum_{i=1}^{s} \left\{ \frac{\partial x_{i}^{T} S_{i}^{-1} \partial x_{i} + \ln ||S_{i}|| \ln (d_{\min})}{\overline{g}} \quad a(i) \neq 0 \right\} + \left\{ \frac{\ln (|2\pi|^{14} |R|)}{6} \quad n_{a} = 0 \right\}$

wherein  $J_{,}$  = Assignment score  $\bar{x}$  = Estimate of relative bias

R = Relative registration covariance matrix

 $\delta x_i$  = State vector difference =  $A_i - B_{a(i)} - \overline{x}$ 

 $S_i$  = Residual error covariance for pair  $A_i$  and  $B_{\alpha(i)}$ 

 $d_{min} =$  Minimum determinant of a residual error matrix

g = Gate value

M = Number of first observations

 $n_a$  = Number of non-zero entries in a

a = Assignment vector:  $a_{(i)} > 0 \rightarrow A_i$  is assigned to  $B_{a(i)}$ 

 $A_i$  = The plurality of first observations

 $B_{s(i)}$  = The plurality of second observations assigned to  $A_i$ 

17. (Original) The method of Claim 16, wherein the relative bias,  $\bar{x}$ , of the cost function is a simple bias.

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PATENT APPLICATION 10/086,988

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- 18. (Original) The method of Claim 16, wherein the relative bias,  $\bar{x}$ , of the cost function is a functional bias.
- 19. (Original) The method of Claim 11, wherein assigning, by a computer, comprises assigning by a processor operable to execute a computer program stored on a computer readable medium.
- 20. (Original) The method of Claim 11, wherein assigning, by a computer, comprises assigning by an application specific integrated circuit.
- 21. (Original) The method of Claim 11, wherein assigning, by a computer, comprises assigning by a digital signal processor.

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- 22. (Currently Amended) A system for assigning observations comprising: a computer readable medium; and
- a computer program stored on the computer readable medium, the computer program operable, when executed on a processor, to:

receive a plurality of first observations indicative of respective physical parameters <u>positions</u> observed by a first sensor system and receiving a plurality of second observations indicative of respective physical parameters <u>positions</u> observed by a second sensor system;

assign a set of pairs of the first and second observations predicted to correspond to the same physical parameter position, the assignment comprising:

receiving a cost function that specifies a cost for each assigned pair, the cost not independent of the assignment of any other assigned pairs in the set of assigned pairs; and

determining the set of assigned pairs corresponding to an optimal value for the cost function by calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations.

- 23. (Original) The system of Claim 22, and further comprising a processor operable to execute the computer program.
- 24. (Original) The system of Claim 22, wherein the directed graph comprises a plurality of nodes each representing an assignment hypothesis, the plurality of nodes comprising a root node, wherein each node except for the root node has an associated input are representing an assignment decision and wherein the length of each input are is representative of a change in an assignment score resulting from the assignment decision, wherein the plurality of nodes are grouped in a plurality of stages.

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- 25. (Original) The system of Claim 22, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a network shortest path algorithm.
- 26. (Original) The system of Claim 22, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a Dijkstra algorithm.
- 27. (Previously Presented) The system of Claim 22, wherein the cost function is

$$J_{i} - \overline{x}^{T} R^{-1} \overline{x} - \sum_{i=1}^{s} \left\{ \frac{\partial x_{i}^{T} S_{i}^{-1} \partial x_{i} + \ln ||S_{i}|| - \ln (d_{\min})}{\overline{g}} \quad a(i) \neq 0 \right\} + \left\{ \frac{\ln (|2\pi|^{M} |R|)}{0} \quad n_{a} = 0 \right\}$$

wherein  $J_{\star}$  = Assignment score  $\bar{x}$  = Estimate of relative bias

R = Relative registration covariance matrix

 $\delta x_i$  = State vector difference =  $A_i - B_{x(i)} - \overline{x}$ 

 $S_i$  = Residual error covariance for pair  $A_i$  and  $B_{a(i)}$ 

 $d_{min} =$  Minimum determinant of a residual error matrix

g = Gate value

M = Number of first observations

 $n_a$  = Number of non-zero entries in a

a = Assignment vector:  $a_{(i)} > 0 \rightarrow A_i$  is assigned to  $B_{a(i)}$ 

 $A_i$  = The plurality of first observations

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PATENT APPLICATION 10/086,988

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 $B_{a(i)}$  = The plurality of second observations assigned to  $A_i$ 

28. (Original) The system of Claim 27, wherein the relative bias, x, of the cost function is a simple bias.

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29. (Currently Amended) A system for assigning observations comprising: a computer operable to receive a plurality of first observations indicative of respective physical parameters positions observed by a first sensor system and receiving a plurality of second observations indicative of respective physical parameters positions observed by a second sensor system; and

wherein the computer is further operable to assign a set of pairs of the first and second observations predicted to correspond to the same physical parameter position, the assignment comprising:

receiving a cost function that specifies a cost for each assigned pair, the cost not independent of the assignment of any other assigned pairs in the set of assigned pairs; and

determining the set of assigned pairs corresponding to an optimal value for the cost function by calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations.

- 30. (Original) The system of Claim 29, wherein the computer comprising an application specific integrated circuit.
- 31. (Original) The system of Claim 29, wherein the computer comprises a processor operable to execute a computer program stored on the computer readable medium.
- 32 (Original). The system of Claim 29, wherein the computer further comprises the computer readable medium.
- 33 (Original). The system of Claim 29, wherein the computer comprises a digital signal processor.
- 34. (Original) The system of Claim 29, wherein the computer comprises a field programmable gate array.

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- 35. (Original) The system of Claim 29, wherein the computer comprises a means for computing.
- 36. (Original) The system of Claim 29, wherein the directed graph comprises a plurality of nodes each representing an assignment hypothesis, the plurality of nodes comprising a root node, wherein each node except for the root node has an associated input are representing an assignment decision and wherein the length of each input are is representative of a change in an assignment score resulting from the assignment decision, wherein the plurality of nodes are grouped in a plurality of stages.
- 37. (Original) The system of Claim 29, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a network shortest path algorithm.
- 38. (Original) The system of Claim 29, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a Dijkstra algorithm.
  - 39. (Previously Presented) The system of Claim 26, wherein the cost function is

$$J_{s} - \overline{x}^{T} R^{-1} \overline{x} - \sum_{i=1}^{s} \left\{ \frac{\partial x_{i}^{T} S_{i}^{-1} \partial x_{i} + \ln ||S_{i}||}{\overline{g}} - \ln (d_{\min}) \quad a(i) \neq 0 \\ o \quad n_{a} > 0 \right\} + \left\{ \ln \left[ \left[ 2\pi \right]^{M} |R| \right) \quad n_{a} = 0 \\ o \quad n_{a} > 0 \right\}$$

wherein  $J_{z} =$ Assignment score  $\overline{x} =$ Estimate of relative bias

R = Relative registration covariance matrix

 $\delta x_i$  = State vector difference =  $A_i - B_{a(i)} - \bar{x}$ 

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$S_i$	= Residual error covariance for pair $A_{i}$ and $B_{a(i)}$
$d_{min} =$	Minimum determinant of a residual error matrix
<b>g</b>	= Gate value
M	= Number of first observations
n <sub>a</sub> ,	= Number of non-zero entries in a
<b>a</b> .	= Assignment vector: $a_{(l)} > 0 \rightarrow A_l$ is assigned to $B_{a(l)}$
$A_i$	= The plurality of first observations
$B_{a(i)} =$	The plurality of second observations assigned to $A_i$

<sup>40. (</sup>Original) The system of Claim 39, wherein the relative bias, x, of the cost function is a simple bias.

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#### REMARKS

Applicant thanks the Examiner for conducting the telephone interview on June 1, 2004, and for the Examiner's thoughtful consideration of this case. During the telephone interview, Applicant and Examiner discussed the Examiner's rejection of Applicant's claims under 35 U.S.C. § 101. Specifically, Applicant and Examiner discussed alternative claim language, which is included among the claim amendments to Claims 1, 11, 22, and 29. No new matter has been introduced by these amendments. Applicant does not admit that these amendments were made as a result of any cited art. Applicant understands that all of the pending claims, as recited in this Response to Office Action, are patentable under 35 U.S.C. § 101. Applicant respectfully requests reconsideration and favorable action in this case.

#### Rejections under 35 U.S.C. § 101

The Examiner rejects Claims 1-40 under 35 U.S.C. § 101 as being directed to non-statutory subject matter. Specifically, the Examiner found that Applicant's "observation" references are abstract ideas. (Office Action, page 2). In the telephone interview conducted on June 1, 2004, Applicant and Examiner discussed the Examiner's rejection of Applicant's claims under § 101. Although Applicant believes that all previously pending claims recite statutory subject matter under § 101, to advance this case expeditiously to issuance, Applicant has amended independent Claims 1, 11, 22, and 29 to address the issues identified by the Examiner. For at least these reasons, Applicant understands that all of the pending claims, as recited in this Response to Office Action, recite statutory subject matter within the meaning of § 101.

Additionally, in the Office Action, the Examiner states that the "data referred to by Applicant's phrase 'information as natural language' is simply an abstract construct that does not limit the claims to the transformation of real world data (such as monetary data or heart rhythm data) by some disclosed process." (Office Action, page 6). In the telephone interview, the Examiner acknowledged that the phrase "information as natural language" is not recited in any of Applicant's pending claims and that the rejection of Applicant's claims as described in paragraph 14 of the Office Action was made in error. It is Applicant's understanding that the rejection of the claims described in paragraph 14 of the Office Action is withdrawn.

ATTORNEY DOCKL, NO. 064749.0141

PATENT APPLICATION 10/086,988

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Applicant respectfully requests that the rejection of the Claims 1-40 under § 101 be withdrawn and the claims allowed.

#### Rejections under 35 U.S.C. § 112

The Examiner rejects Claims 1-40 under 35 U.S.C. § 112, first paragraph. Specifically, the Examiner states that "current case law (and accordingly, the MPEP) require such a rejection if a 101 rejection is given because . . . as a matter of law there is no way Applicant could have disclosed how to practice the undisclosed practical application." (Office Action, page 8). As discussed above, Applicant has amended independent Claims 1, 11, 22, and 29 to address the § 101 issues identified by the Examiner. Accordingly, the basis for the Examiner's § 112 rejection of Claims 1-40 has been removed. Furthermore, in the telephone interview conducted on June 1, 2004, the Examiner indicated that the pending claims, as recited in this Response to Office Action, are patentable under § 101 and § 112. For at least these reasons, Applicant respectfully requests that the rejection of the Claims 1-40 under § 112 be withdrawn and the claims allowed.

ATTORNEY DOCK FINE 064749.0141

PATENT APPLICATION 10/086,988

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# CONCLUSION

Applicant has made an earnest attempt to place this case in condition for allowance. For the foregoing reasons, and for other reasons clearly apparent, Applicant respectfully requests full allowance of all pending Claims.

If the Examiner feels that a telephone conference or an interview would advance prosecution of this Application in any manner, the undersigned attorney for Applicant stands ready to conduct such a conference at the convenience of the Examiner.

Applicant believes no fees are due. However, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P. Attorneys for Applicant

Bradley I. Williams Reg. No. 40,227

Date: June

Correspondence Address: 2001 Ross Avenue, Suite 600 Dailas, Texas 75201-2980 (214) 953-6447

Customer No.

05073

ATTORNEYS DOC. 5. 064749.0141

PATENT APPLICATION
10/086,988

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mark D. Levedahl

RECEIVED CENTRAL FAX CENTER

Serial No.:

10/086,988

MAR 0 1 2005

Filing Date:

February 28, 2002

Group Art Unit:

2121

Examiner:

Wilbert L. Starks

Title:

METHOD AND SYSTEM FOR ASSIGNING

**OBSERVATIONS** 

Mail Stop Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

# <u>CERTIFICATE OF MAILING BY EXPRESS MAIL</u>

I hereby certify that the attached Response Pursuant to 37 C.F.R. § 1.111 (16 pages), Baker Botts return postcard (1 postcard), and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 2nd day of June 2004 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Willie Jiles

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Express Mail Receipt No. BV 324629669 US

Total Page	es :	Total Pages
New Applin.; C.P.A.; Con.; CIP.; Div; Provisional; Converted Provisional (Specification, Claims, Alistract)  BibRographic Data Sheet  Declaration/power of Attorney  Assignment Verified Statement Formal Drawing  Informal Drawings  Amendment/Response	Mo. Extension of Time   Notice of Appeal   Notice of Appeal   Appeal Enter   Seve Pee Trainsmitted   Check No. Ant.: Ant.:   Other   Ant.:   Ant.:   Other   Other   Other   Other   Other	
Name of Inventorisk Mark D. Levida  Title of Invention: MIS for ASSIANIA ( Client or Applicant Kumun ( Client or Applicant Kumun)  Mailed: (2) 04 Certificate of Express Mail  Due: (4) 24 04 Certificate Of Certificate	104749. 0141 Mailing July 29649 15	PESO

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2001 ROSS AVENUE DALLAS, TEXAS 75201 2980

214.953.6500 FAX 214.953.6503 www.bakerbots.com

AUSTIN BAKU DALLAS HOUSTON NOCINOI

MOSCOW NEW YORK HOAYIS WASHINGTON

FROM

Gienda Orrantia

DATE

October 14, 2004

PAGES

19

VOICE NO. 214.953.6559

FAX NO. 214.661.4559 RETURN TO Glenda Orrantia

Legal Assistant

TO

Examiner Wilbert L. Starks

Art Unit 2121

U.S. Patent and Trademark Office

FAX NO.

703-872-9306

VOICE NO. 703-305-0027

MESSAGE

In re Application of: Mark D. Levedahi

Serial No.:

10/086,988

Filed:

February 28, 2002

2121

Broup No.: : Πte:

Method and System for Assigning Observations

Attorney Docket No.: 054749.0141

# PLEASE DELIVER IMMEDIATELY TO EXAMINER WIL STARK

Examiner Stark:

Pursuant to your voice message, please find attached a true and correct copy of our Response Pursuant to 37 C.F.R. § 1.111, which was filed in connection with your Office Action nailed March 26, 2004. Also attached is a copy of our return postcard which acknowledges receipt of the Response with the PTO on June 2, 2004.

Date: October 14, 2004

Auto-Reply Facsimile Transmission



TO:

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ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received Cover Page

BAXER BOTTS BAKER BOTTS III VOCT NO. 214,959,6559 PAT NO. 214,661,4559 RETURN TO GIORNIA O (TEINE) October 14, 2004 Examiner Wilbert L. Starks Art Unit 2121 703-872-9306 U.S. Patent and Trademark Office MESSAGE In re Application of: Strial No.: Mark D. Lev 10/055,988 Filed: Group No.: Pebruary 28, 2002 PLEASE DELIVER IMMEDIATELY TO EXAMINER WILBERT Pursuant to your voice message, please find attached a true and correct copy of our Response Pursuant to 37 C.P.R. § 1.111; which was filed in connection with your Office Actionabled March 26, 2004. Also attached is a copy of our return postcard which ecknowledges receipt of the Response with the FTO on June 2, 2004. Date: October 14, 2004

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mark D. Levedahl

Serial No.:

10/086,988

Filing Date:

February 28, 2002

Group Art Unit:

2121

Examiner:

Wilbert L. Starks

Title:

METHOD AND SYSTEM FOR ASSIGNING

**OBSERVATIONS** 

Mail Stop Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir.

#### CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Response Pursuant to 37 C.F.R. § 1.111 (16 pages), Baker Botts return postcard (1 postcard), and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 2nd day of June 2004 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Receipt No. EV 324629669 US ATTORNEY DOCKE, NO. 064749.0141

PATENT APPLICATION 10/086,988

1

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mark D. Levedahl

Serial No.:

10/086,988

Filing Date:

February 28, 2002

Group Art Unit:

2121-

Examiner:

Wilbert L. Starks

Title:

METHOD AND SYSTEM FOR ASSIGNING

**OBSERVATIONS** 

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

# RESPONSE PURSUANT TO 37 C.F.R. § 1.111

In response to the Office Action mailed March 26, 2004, Applicant respectfully requests the Examiner to reconsider the rejection of the claims in view of the following amendments and remarks. Please amend the Application as follows:

ATENT APPLICATION 10/086,988

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### IN THE CLAIMS

For the convenience of the Examiner, all pending claims of the present Application are shown below in numerical order whether or not an amendment has been made and applying the revised format guidelines of 37 C.F.R. 1.121.

l. (Currently Amended) A computerized method for assigning observations comprising:

receiving a plurality of first observations indicative of respective physical parameters positions observed by a first sensor system and receiving a plurality of second observations indicative of respective physical parameters positions observed by a second sensor system;

assigning, by a computer, a set of pairs of the first and second observations predicted to correspond to the same physical parameter position, the assigning comprising:

receiving a cost function that specifies a cost for each assigned pair, the cost not independent of the assignment of any other assigned pairs in the set of assigned pairs; and

determining the set of assigned pairs corresponding to an optimal value for the cost function by calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations.

- 2. (Original) The method of Claim I, wherein the directed graph comprises a plurality of nodes each representing an assignment hypothesis, the plurality of nodes comprising a root node, wherein each node except for the root node has an associated input arc representing an assignment decision and wherein the length of each input arc is representative of a change in an assignment score resulting from the assignment decision, wherein the plurality of nodes are grouped in a plurality of stages.
- 3. (Original) The method of Claim 1, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a network shortest path algorithm.

-ATENT APPLICATION 10/086,988

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- 4. (Original) The method of Claim 1, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a Dijkstra algorithm.
- 5. (Previously Presented) The method of Claim 1, wherein the cost function

$$J_{z} - \overline{x}^{T} R^{-1} \overline{x} - \sum_{i=1}^{z} \left\{ \underbrace{\delta x_{i}^{T} S_{i}^{-1} \delta x_{i} + \ln[S_{i}] - \ln(d_{\min})}_{\overline{g}} \quad a(i) \neq 0 \right\} + \left\{ \underbrace{\ln([2\pi]^{M} |R|)}_{0} \quad n_{o} = 0 \right\}$$

wherein  $J_{*} = Assignment score$ 

 $\bar{x}$  = Estimate of relative bias

R = Relative registration covariance matrix

 $\delta x_i$  = State vector difference =  $A_i - B_{a(i)} - \overline{x}$ 

 $S_i$  = Residual error covariance for pair  $A_i$  and  $B_{\sigma(i)}$ .

 $d_{min}$  = Minimum determinant of a residual error matrix

g = Gate value

M = Number of first observations

 $n_a$  = Number of non-zero entries in a

a = Assignment vector:  $a_{(i)} > 0 \rightarrow A_i$  is assigned to  $B_{a(i)}$ 

 $A_i$  = The plurality of first observations

 $B_{a(i)} =$  The plurality of second observations assigned to  $A_i$ 

ATTORNEY DOCKE: NO. 064749.0141

PATENT APPLICATION 10/086,988

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- 6. (Original) The method of Claim 5, wherein the relative bias,  $\bar{x}$ , of the cost function is a simple bias.
- 7. (Original) The method of Claim 5, wherein the relative bias,  $\bar{x}$ , of the cost function is a functional bias.
- 8. (Original) The method of Claim 1, wherein assigning, by a computer, comprises assigning by a processor operable to execute a computer program stored on a computer readable medium.
- 9. (Original) The method of Claim 1, wherein assigning, by a computer, comprises assigning by an application specific integrated circuit.
- 10. (Original) The method of Claim 1, wherein assigning, by a computer, comprises assigning by a digital signal processor.

ATENT APPLICATION 10/086.988

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11. (Currently Amended) A computerized method for determining the Nbest observation assignments comprising:

receiving a plurality of first observations indicative of respective physical parameters positions observed by a first sensor system and receiving a plurality of second observations indicative of respective physical parameters positions observed by a second sensor system;

determining, by a computer, one or more sets of pairs of the first and second observations predicted to correspond to the same physical parameter position, the determining comprising:

receiving a cost function that specifies a cost for each assigned pair;

determining a set of assigned pairs corresponding to an optimal value for the cost function by calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of corresponding first and second observations; and

repeating the determining act until a desired number of best observation assignments is determined.

- 12. (Original) The method of Claim 11, wherein the cost specified by the cost function for each assigned pair is not independent of the assignment of any other assigned pairs in the respective set of assigned pairs.
- 13. (Original) The method of Claim 11, wherein the directed graph comprises a plurality of nodes each representing an assignment hypothesis, the plurality of nodes comprising a root node, wherein each node except for the root node has an associated input arc representing an assignment decision and wherein the length of each input arc is representative of a change in an assignment score resulting from the assignment decision, wherein the plurality of nodes are grouped in a plurality of stages.
- 14. (Original) The method of Claim 11, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a network shortest path algorithm.

PATENT APPLICATION 10/086,988

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- 15. (Original) The method of Claim 11, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a Dijkstra algorithm.
  - 16. (Previously Presented) The method of Claim 11, wherein the cost function is

$$J_{a} - \overline{x}^{T} R^{-1} \overline{x} - \sum_{i=1}^{s} \left\{ \frac{\partial x_{i}^{T} S_{i}^{-1} \partial x_{i} + \ln ||S_{i}|| - \ln (d_{\text{total}})}{\overline{g}} \quad a(i) \neq 0 \right\} + \left\{ \ln \left( [2\pi]^{M} |R| \right) \quad n_{a} = 0 \right\}$$

wherein  $J_r = Assignment score$  $\bar{x} = Estimate of relative bias$ 

R = Relative registration covariance matrix

 $\delta x_i$  = State vector difference =  $A_i - B_{\alpha(i)} - \overline{x}$ 

 $S_i$  = Residual error covariance for pair  $A_i$  and  $B_{a(i)}$ 

 $d_{min} =$  Minimum determinant of a residual error matrix

g = Gate value

M = Number of first observations

 $n_a$  = Number of non-zero entries in a

a = Assignment vector:  $a_{(i)} > 0 \rightarrow A_i$  is assigned to  $B_{a(i)}$ 

 $A_i$  = The plurality of first observations

 $B_{a(i)} =$  The plurality of second observations assigned to  $A_i$ 

17. (Original) The method of Claim 16, wherein the relative bias,  $\bar{x}$ , of the cost function is a simple bias.

ATTORNEY DOCKE: NO. 064749.0141

PATENT APPLICATION 10/085,988

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- 18. (Original) The method of Claim 16, wherein the relative bias,  $\bar{x}$ , of the cost function is a functional bias.
- 19. (Original) The method of Claim 11, wherein assigning, by a computer, comprises assigning by a processor operable to execute a computer program stored on a computer readable medium.
- 20. (Original) The method of Claim 11, wherein assigning, by a computer, comprises assigning by an application specific integrated circuit.
- 21. (Original) The method of Claim 11, wherein assigning, by a computer, comprises assigning by a digital signal processor.

PATENT APPLICATION 10/086,988

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- 22. (Currently Amended) A system for assigning observations comprising: a computer readable medium; and
- a computer program stored on the computer readable medium, the computer program operable, when executed on a processor, to:

receive a plurality of first observations indicative of respective physical parameters positions observed by a first sensor system and receiving a plurality of second observations indicative of respective physical parameters positions observed by a second sensor system;

assign a set of pairs of the first and second observations predicted to correspond to the same physical parameter position, the assignment comprising:

receiving a cost function that specifies a cost for each assigned pair, the cost not independent of the assignment of any other assigned pairs in the set of assigned pairs; and

determining the set of assigned pairs corresponding to an optimal value for the cost function by calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations.

- 23. (Original) The system of Claim 22, and further comprising a processor operable to execute the computer program.
- 24. (Original) The system of Claim 22, wherein the directed graph comprises a plurality of nodes each representing an assignment hypothesis, the plurality of nodes comprising a root node, wherein each node except for the root node has an associated input are representing an assignment decision and wherein the length of each input are is representative of a change in an assignment score resulting from the assignment decision, wherein the plurality of nodes are grouped in a plurality of stages.

ATENT APPLICATION 10/086,988

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- 25. (Original) The system of Claim 22, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a network shortest path algorithm.
- 26. (Original) The system of Claim 22, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a Dijkstra algorithm.
- 27. (Previously Presented) The system of Claim 22, wherein the cost function is

$$J_{i} - \overline{x}^{T} R^{-1} \overline{x} - \sum_{i=1}^{r} \left\{ \delta x_{i}^{T} S_{i}^{-1} \delta x_{i} + \ln \left\| S_{i} \right\| - \ln \left( d_{\min} \right) \quad a(i) \neq 0 \\ \overline{g} \quad a(i) = 0 \right\} + \left\{ \ln \left( \left[ 2\pi \right]^{M} |R| \right) \quad n_{a} = 0 \right\}$$

wherein  $J_r =$ Assignment score  $\overline{x} =$ Estimate of relative bias

R = Relative registration covariance matrix

 $\delta x_i$  = State vector difference =  $A_i - B_{a(i)} - \tilde{x}$ 

 $S_i$  = Residual error covariance for pair  $A_i$  and  $B_{a(i)}$ 

 $d_{min} =$  Minimum determinant of a residual error matrix

g = Gate value

M = Number of first observations

 $n_a$  = Number of non-zero entries in a

a = Assignment vector:  $a_{(i)} > 0 \rightarrow A_i$  is assigned to  $B_{a(i)}$ 

 $A_i$  = The plurality of first observations

ATTORNEY DOCKE: NO. 064749.0141

PATENT APPLICATION 10/086,988

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- $B_{a(i)} =$  The plurality of second observations assigned to  $A_i$
- 28. (Original) The system of Claim 27, wherein the relative bias, x, of the cost function is a simple bias.

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29. (Currently Amended) A system for assigning observations comprising: a computer operable to receive a plurality of first observations indicative of respective physical parameters positions observed by a first sensor system and receiving a plurality of second observations indicative of respective physical parameters positions observed by a second sensor system; and

wherein the computer is further operable to assign a set of pairs of the first and second observations predicted to correspond to the same physical parameter position, the assignment comprising:

receiving a cost function that specifies a cost for each assigned pair, the cost not independent of the assignment of any other assigned pairs in the set of assigned pairs; and

determining the set of assigned pairs corresponding to an optimal value for the cost function by calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations.

- 30. (Original) The system of Claim 29, wherein the computer comprising an application specific integrated circuit.
- 31. (Original) The system of Claim 29, wherein the computer comprises a processor operable to execute a computer program stored on the computer readable medium.
- 32 (Original). The system of Claim 29, wherein the computer further comprises the computer readable medium.
- 33 (Original). The system of Claim 29, wherein the computer comprises a digital signal processor.
- 34. (Original) The system of Claim 29, wherein the computer comprises a field programmable gate array.

PATENT APPLICATION 10/086,988

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- 35. (Original) The system of Claim 29, wherein the computer comprises a means for computing.
- 36. (Original) The system of Claim 29, wherein the directed graph comprises a plurality of nodes each representing an assignment hypothesis, the plurality of nodes comprising a root node, wherein each node except for the root node has an associated input arc representing an assignment decision and wherein the length of each input arc is representative of a change in an assignment score resulting from the assignment decision, wherein the plurality of nodes are grouped in a plurality of stages.
- 37. (Original) The system of Claim 29, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a network shortest path algorithm.
- 38. (Original) The system of Claim 29, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a Dijkstra algorithm.
  - 39. (Previously Presented) The system of Claim 26, wherein the cost function is

$$J_{s} - \overline{x}^{T} R^{-1} \overline{x} - \sum_{i=1}^{s} \left\{ \delta x_{i}^{T} S_{i}^{-1} \delta x_{i} + \ln \left[ \left| S_{i} \right| \right] - \ln \left( d_{\min} \right) \quad a(i) \neq 0 \\ a(i) = 0 \right\} + \left\{ \ln \left( \left[ 2\pi \right]^{M} \left| R \right| \right) \quad n_{a} = 0 \right\}$$

wherein  $J_s =$ Assignment score  $\overline{x} =$ Estimate of relative bias

R = Relative registration covariance matrix

 $\delta x_i$  = State vector difference =  $A_i - B_{a(i)} - \overline{x}$ 

ATENT APPLICATION
10/086,988

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$S_i$	= Residual error covariance for pair $A_i$ and $B_{a(i)}$
$d_{min} =$	Minimum determinant of a residual error matrix
E	= Gate value
M	= Number of first observations
$n_a$	= Number of non-zero entries in α
a	= Assignment vector: $a_{(i)} > 0 \rightarrow A_i$ is assigned to $B_{a(i)}$
$A_{i}$	= The plurality of first observations
$B_{a(i)} =$	The plurality of second observations assigned to $A$ .

40. (Original) The system of Claim 39, wherein the relative bias, x, of the cost function is a simple bias.

PATENT APPLICATION 10/086,988

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#### **REMARKS**

Applicant thanks the Examiner for conducting the telephone interview on June 1, 2004, and for the Examiner's thoughtful consideration of this case. During the telephone interview, Applicant and Examiner discussed the Examiner's rejection of Applicant's claims under 35 U.S.C. § 101. Specifically, Applicant and Examiner discussed alternative claim language, which is included among the claim amendments to Claims 1, 11, 22, and 29. No new matter has been introduced by these amendments. Applicant does not admit that these amendments were made as a result of any cited art. Applicant understands that all of the pending claims, as recited in this Response to Office Action, are patentable under 35 U.S.C. § 101. Applicant respectfully requests reconsideration and favorable action in this case.

### Rejections under 35 U.S.C. § 101

The Examiner rejects Claims 1-40 under 35 U.S.C. § 101 as being directed to non-statutory subject matter. Specifically, the Examiner found that Applicant's "observation" references are abstract ideas. (Office Action, page 2). In the telephone interview conducted on June 1, 2004, Applicant and Examiner discussed the Examiner's rejection of Applicant's claims under § 101. Although Applicant believes that all previously pending claims recite statutory subject matter under § 101, to advance this case expeditiously to issuance, Applicant has amended independent Claims 1, 11, 22, and 29 to address the issues identified by the Examiner. For at least these reasons, Applicant understands that all of the pending claims, as recited in this Response to Office Action, recite statutory subject matter within the meaning of § 101.

Additionally, in the Office Action, the Examiner states that the "data referred to by Applicant's phrase 'information as natural language' is simply an abstract construct that does not limit the claims to the transformation of real world data (such as monetary data or heart rhythm data) by some disclosed process." (Office Action, page 6). In the telephone interview, the Examiner acknowledged that the phrase "information as natural language" is not recited in any of Applicant's pending claims and that the rejection of Applicant's claims as described in paragraph 14 of the Office Action was made in error. It is Applicant's understanding that the rejection of the claims described in paragraph 14 of the Office Action is withdrawn.

ATTORNEY DOCKET NO. 064749,0141

PATENT APPLICATION 10/086,988

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Applicant respectfully requests that the rejection of the Claims 1-40 under § 101 be withdrawn and the claims allowed.

# Rejections under 35 U.S.C. § 112

The Examiner rejects Claims 1-40 under 35 U.S.C. § 112, first paragraph. Specifically, the Examiner states that "current case law (and accordingly, the MPEP) require such a rejection if a 101 rejection is given because . . . as a matter of law there is no way Applicant could have disclosed how to practice the undisclosed practical application." (Office Action, page 8). As discussed above, Applicant has amended independent Claims 1, 11, 22, and 29 to address the § 101 issues identified by the Examiner. Accordingly, the basis for the Examiner's § 112 rejection of Claims 1-40 has been removed. Furthermore, in the telephone interview conducted on June 1, 2004, the Examiner indicated that the pending claims, as recited in this Response to Office Action, are patentable under § 101 and § 112. For at least these reasons, Applicant respectfully requests that the rejection of the Claims 1-40 under § 112 be withdrawn and the claims allowed.

ATTORNEY DOCKET NO. 064749.0141

PÁTENT APPLICATION 10/086,988

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### CONCLUSION

Applicant has made an earnest attempt to place this case in condition for allowance. For the foregoing reasons, and for other reasons clearly apparent, Applicant respectfully requests full allowance of all pending Claims.

If the Examiner feels that a telephone conference or an interview would advance prosecution of this Application in any manner, the undersigned attorney for Applicant stands ready to conduct such a conference at the convenience of the Examiner.

Applicant believes no fees are due. However, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P. Attorneys for Applicant

Bradley P. Williams Reg. No. 40,227

Date: June 2, 2004

Correspondence Address: 2001 Ross Avenue, Suite 600 Dallas, Texas 75201-2980 (214) 953-6447

Customer No.

05073

Attorney's Docket No. 064749.0141 (PD 02E002)

# ATENT APPLICATION 10/086,988

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED CENTRAL FAX CENTER

In re Application of:

Mark D. Levedahl

Serial No.:

10/086,988

MAR 0 1 2005

Filing Date:

February 28, 2002

Title:

METHOD AND SYSTEM FOR ASSIGNING

**OBSERVATIONS** 

Confirmation No.:

6322

Mail Stop

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

bcreby certify. correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown

Date of Signature: June 6, 2003

# REQUEST FOR STATUS

Please advise the status of the above-identified patent application. application was filed on February 28, 2002. Applicant received the Filing Receipt on April 2, 2002. Applicant is currently awaiting a first Office Action.

Attorney's Docket No. 064749.0141 (PD 02B002)

ATENT APPLICATION 10/086,988

2

### REMARKS

Under 37 C.F.R. § 1.702(a)(1), it has been over fourteen months since the filing date of this Application and a Notification under 35 U.S.C. § 132 has not been received. Thus, a period of adjustment of patent term due to examination delay is accruing in accordance with 37 C.F.R. § 1.703(a)(1).

If there are any matters that can be cleared up through a telephone conversation, please contact the undersigned attorney for Applicant at the telephone number listed below.

Respectfully submitted,

BAKER BOTTS L.L.P.

Attorneys for Applicant

Bradley P. Williams

Date: June 5, 2003

2001 Ross Avenue, Suite 600 Dallas, Texas 75201-2980 Telephone No. (214) 953-6464

CORRESPONDENCE ADDRESS: Customer Number of Bar Code Label:



Attorney's Docket: 064749.0141 (PD 02E002)

PAIENI 10/086,988

1

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mark D. Levedahl

Serial No.:

10/086,988

Filing Date:

February 28, 2002

Title:

METHOD AND SYSTEM FOR ASSIGNING

**OBSERVATIONS** 

Confirmation No.:

6322

### **BOX MISSING PARTS**

Assistant Commissioner

for Patents

Washington, D.C. 20231

Dear Sir:

#### CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Response to Notice to File Missing Parts of Nonprovisional Application (2 pages), copy of Notice to File Missing Parts of Nonprovisional Application (1 page), a check in the amount of \$1,314.00, executed Declaration and Power of Attorney (3 pages), Assignment (2 pages), Assignment Cover Sheet (1 page), a check in the amount of \$40.00 for the Assignment recordal fee, a Preliminary Amendment (32 pages), this Certificate of Mailing by Express Mail, and an acknowledgment postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on this 28th day of June, 2002 addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Willia Files

Express Mail Receipt No. EL 953699120 US Attorney's Docket: 064749.0141 (PD 02E002)

PATENT 10/086,988

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mark D. Levedahl

RECEIVED CENTRAL FAX CENTER

Serial No.:

10/086,988

MAR 0 1 2005

Filing Date:

February 28, 2002

Group Art Unit:

6322

Title:

METHOD AND SYSTEM FOR ASSIGNING

**OBSERVATIONS** 

### NOTIFICATION OF EXTENSION OF TIME UNDER 37 C.F.R. § 1.136

Honorable Assistant Commissioner

for Patents

Washington, D.C.

20231

Dear Sir:

Applicants hereby take an Extension of Time for responding to the Office Action dated April 2, 2002 for one (1) month from June 2, 2002 to July 2, 2002.

> First Month \$110.00 Second Month Third Month Fourth Month

A check in the amount of \$110.00 is enclosed to cover the fee, The Commissioner is hereby authorized to charge any other fees or to credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P. Attorneys/for/Applicants.

ey P. Williams Reg/No. 40,227

2001 Ross Avenue, Suite 600 Dallas, Texas 75201-2980

(214)/953-6447 Date:

ATTORNEY DOCKET NO. 064749.0139

(z)

PATENT APPLICATION 10/033.080

2

- (b) A completed Declaration and Power of Attorney for the above-referenced patent application, which was filed February 28, 2002.
- (c) A completed Assignment for the above-referenced patent application. The filing fee in the amount of \$40.00 is included herewith.
- (d) A check in the amount of \$1,314.00 is enclosed for filing fees, additional claims charge and surcharge for a large entity.
  - (e) Notification of Extension of Time and check in the amount of \$110.00.

The Commissioner is hereby authorized to charge any amount required or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P. Attorneys for Applicants

Bradley P. Williams
Reg No. 40,227

Date: June 2002

Correspondence Address:

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USSN: 10/086.988

### DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND SYSTEM FOR ASSIGNING OBSERVATIONS, the specification of which was filed on February 28, 2002 as Application Serial No. 10/086,988.

I have reviewed and understand the contents of the above-identified specification. including the claims, as amended by any amendment referred to above; and

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Date

Number	Country	Filed	Claimed (Yes) (No)
	NO	NE	
• • • •	·		· .
I hereby cla	im the benefit under 35	U.S.C.§ 119(e) of any U	United States provisions
application(s) listed	i below:		
	•		
Application Serial Number	NO		Status
	***************************************	(1 L	

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I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application	•.	•	:		. •
Serial Number		Date Filed	_Status (Pat	ented, Pendng,	Abandoned)
, ************************************		NONE			

I hereby appoint the following as my attorneys/agents with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and to file and prosecute any international patent applications filed thereon before any international authorities:

	• • •
René E. Grossman	Reg. No. 22,656
Glenn H. Lenzen, Jr.	Reg. No. 29,320
Philip P. Berestecki	Reg. No. 26,502
William C. Schubert	Reg. No. 30,102
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Colin M. Raufer	Reg. No. 40,781
Robin R. Loporchio	Reg. No. 40,071
David L. Kinsinger	Reg. No. 33,927
John J. Snyder	Reg. No. 39,380

all of Raytheon Company; and

The practitioners at Customer Number

05073

all of the firm of Baker Botts L.L.P.

Send Correspondence To: Jerry W. Mills Baker Botts L.L.P. 2001 Ross Avenue, Suite 600 Dallas, Texas 75201-2980 Direct Telephone Calls To: Bradley P. Williams at (214) 953-6447 Atty. Docket No. 064749.0141

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. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of the sole or first inventor

Mark D. Levedahl

Inventor's signature

Date

Residence (City, County, State)

Citizenship

Post Office Address

McLean, Fairfax County, Virginia

USA

1801 Dumbarton St. McLean, Virginia 22101 ATTORNEY DOCKET NO. 064749.0141 Client No. PD 02E002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Levedahl, Mark D.

Title:

Method and System for Assigning

Observations

Serial No.:

10/086,988

Filing Date:

February 28, 2002

Confirmation No.:

6322

BOX: NON-FEE AMENDMENT

Assistant Commissioner

for

Patents

Washington, D.C.

20231

Dear Sir:

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Date of Deposit: June \_ 2002 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 GFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner Patents, Washington, D.C. 20231.

#### PRELIMINARY AMENDMENT

initial review of the this entitled Method and System for Assigning application Observations by Mark C. Levedahl, please amend the application as follows:

#### IN THE SPECIFICATION

The specification is amended as follows. Please refer to the attached sheets showing a marked-up version of amendments to the specification. DAL01:668187.1

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On Page 14, please replace the paragraphs beginning on Lines 17 and 27 with:

After the governing equations are formulated at step 40 a cost function is assigned at step 42. Assuming that all items in A are assigned and following standard approaches for track-to-track association, the Gaussian probability density for a given assignment set is given by:

$$P_{a} = \frac{e^{-\bar{x}^{T}R_{c}^{-1}\bar{x}/2}}{(2\pi)^{M/2}\sqrt{|R|}} \prod_{i}^{m} \frac{e^{-\left[A_{i}-B_{a(i)}-\bar{x}\right]^{T}\left(P_{i}+Q_{a(i)}\right)^{-1}\left[A_{i}-B_{a(i)}-\bar{x}\right]/2}}{(2\pi)^{M/2}\sqrt{|P_{i}+Q_{a(i)}|}}$$
(6)

where  $\bar{x}$  is an estimate of the relative bias  $.\bar{x}_{\lambda} - \bar{x}_{\delta}$ . A term referred to as an assignment gate is utilized to account for unassigned elements in a and taking the negative logarithm of both sides and multiplying by Equation 2 yields the hypothesis score  $(J_{\delta})$  to be maximized through choice of a:

$$\delta x_i = A_i - B_{a(i)} - \overline{x}$$

$$S_i = P_i + Q_{a(i)}$$

$$J_a = -\overline{x}^T R^{-1} \overline{x} - \ln[(2\pi)^M |R|] - \sum_{i=1}^n \left\{ \delta x_i^T S_i^{-1} \delta x_i + \ln[S_i^A] \right\} \begin{array}{c} a(i) \neq 0 \\ g \end{array}$$

$$(7)$$

Equation 7 assumes at least one assignment in a, and the value g, which used in deciding to accept a given assignment: g includes the missing Mln(2n) term and is described in greater detail below. The bias estimate, x, is chosen to maximize the assignment score, represented by  $J_a$ . The value for this is determined by taking the partial derivative of equation 7 with respect to x, setting the result to zero, and solving, resulting in:

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$$\overline{x} = \left(\sum_{i=1}^{m} \left\{ \begin{bmatrix} A_i - B_{a_i} & P_i + Q_{a_i} \end{bmatrix}^{-1} & a(i) \neq 0 \\ 0 & a(i) = 0 \end{bmatrix} \right) \left(R^{-1} + \sum_{i=1}^{m} \left[P_i + Q_{a_i}\right]^{-1} \right)^{-1}$$
(8)

Again, the bias is computed based upon the assigned elements only. With no assignments made, the bias is indeterminate. Equations 7 and 8 utilize a simple bias, but could be easily reformulated with a functional bias, such as a hyperbolic displacement as a function of relative position. Other types of bias that may be accounted for include scale factor errors and rotation errors. Equations 7 and 8 together define the assignment score function that a GNP assignment algorithm must maximize. A simplification is available in the special case of homogeneous error variances (e.g., all PI = P -and all Qj =Q.) In this case, equation 8 becomes:

$$\overline{x}_{cr} = \left[ n_{\sigma} I_{H} + (P+Q) R^{-1} \right]^{-1} \sum_{i=1}^{m} \begin{cases} A_{i} - B_{a_{i}} & a(i) \neq 0 \\ 0 & a(i) = 0 \end{cases}$$

$$n_{a} : \text{number of non-zero entries in } a$$
(9)

It is noteworthy that the costs in equation 7 do not support generation of a cost matrix as in the classic GNN problem. Rather, the cost of any particular assignment a(i)=j is dependent upon the bias estimate and hence upon the entire assignment hypothesis. The entire assignment hypothesis refers to a hypothesized set of all assignments. The integer programming methods used to solve the GNN problem are based upon independent costs for each assignment and hence incapable of handling the GNP problem. This is a feature of the GNP problem that utilizes the teachings of a new invention for solution.

An "equivalent" cost function for the GNN problem is defined here. This can be a rough equivalent only, as

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the bias is ignored (the assumption being that the bias is zero). The GNN formulation is in fact found by removing the bias related terms from equation 7, with result in:

$$J_{gas} = -\sum_{i=1}^{m} \left\{ \begin{bmatrix} A_i - B_{a(i)} \end{bmatrix}^T S_i^{-1} \begin{bmatrix} A_i - B_{a(i)} \end{bmatrix} + \ln \begin{bmatrix} S_i \end{bmatrix} \right\} \quad a(i) \neq 0$$

$$g \qquad a(i) = 0$$
(10)

In general, the residual covariance Si in equation 10 would be inflated to account for residual bias errors.

After assignment of a cost function at step 42, gating is accounted for at step 44. Gating refers to accounting for non-assignment of an observation, such as observation 22 to an observation in second system 14. For any potential assignment a(i) = j, there are two hypotheses:

 $H_0$ :  $A_i$  and  $B_j$  represent independent observations and a(i) = j should be rejected in favor of a(i) = 0.

 $H_1$ :  $A_1$  and  $B_j$  represent the same object and a(1) = j should be accepted.

The gate value g is used in the above test. Given the interdependence of all assignments in a hypothesis, a cost cannot be uniquely defined for any particular assignment. The gating approach presented here treats the change in score when an assignment is added to a set as the cost of that assignment, and hence the value tested against g to choose  $H_0$  or  $H_1$ .

In the case where system A sends its full set of observations, the standard maximum likelihood gate used for the GNN problem is applicable but needs adjustment to allow for the extra term in equation 7 related to the

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bias. Given true target density over the surveillance volume  $\beta_{I}$ , false target densities for systems A and B are  $\beta_{FTM}$  and  $\beta_{FTB}$ , and probabilities for A and B of observing a target are  $P_{TM}$  and  $P_{TB}$ , the maximum likelihood gate value is (Blackman<sup>2</sup>, equation 9.15):

$$g = 2 \ln \left[ \frac{\beta_{t} P_{TA} P_{TB}}{(2\pi)^{M/2} P_{NTA} P_{NTB}} \right]$$

$$P_{NTA} = \beta_{t} P_{TB} (1 - P_{TA}) + \beta_{FTB}$$

$$P_{NTB} = \beta_{t} P_{TA} (1 - P_{TB}) + \beta_{FTA}$$
(11)

The GNP formulation is especially useful for a one-time object map handover. Typically, an object map has an a priori defined maximum number of elements, regardless of the true number of tracks present in the source. This corresponds to a lower  $P_{TA}$  and thus indicates a smaller gate value may be required than is given by equation 11.

There are m+1 terms summed to give an assignment score in equation 7 as opposed to m terms in the GNN formulation of equation 10. The extra term is due to the bias error, and as the first assignment added to a hypothesis is primarily responsible for determining the bias, the solution approach here uses 2g as the gating value for the first assignment. The direct impact of this decision is that entire hypotheses are less likely to be gated out given a large bias: gating out a single assignment that is marginal is acceptable, but gating out an entire hypothesis due to a large bias may not be acceptable.

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After gating is accounted for at step 44, feature data may be used at step 46. The cost function given in equation 7 explicitly assumes that biases exist between the two systems in all dimensions of the common frame of reference. However, the formulation is easily expanded to include additional feature data and/or observations where the residual bias is unimportant (e.g., vehicle velocity). Assuming the feature observations are in arrays A and B with residual covariance  $F_{ij}$ , the hypothesis score becomes:

$$\delta f_i^2 = \left[ A_i^f - B_{a(i)}^f \right]^T \left( F_{i,a(i)} \right)^{-1} \left[ A_i^f - B_{a(i)}^f \right] + \ln \left( F_{i,a(i)} \right)$$

$$J_{af} = -\overline{x}^T R^{-1} \overline{x} - \ln \left[ (2\pi)^M |R| \right] - \sum_{i=1}^m \left\{ \frac{\delta x_i^T S_i^{-1} \delta x_i + \ln \left[ |S_i| \right] + \delta f_i^2 & a(i) \neq 0 \\ g & a(i) = 0 \right\}$$
(12)

The score for a hypothesis at stage s, s is the number of assignment decisions made, with  $n_a$   $(n_a > 0)$  assignments is:

$$J_{i} - \overline{x}^{T} R^{-1} \overline{x} - \sum_{i=1}^{I} \left\{ \tilde{\alpha} x_{i}^{T} S_{i}^{-1} \tilde{\alpha} x_{i} + \ln \left\| S_{i} \right\| - \ln \left( d_{\min} \right) \quad a(i) \neq 0 \\ a(i) = 0 \right\} + \left\{ \ln \left( \left[ 2\pi \right]^{M} |R| \right) \quad n_{a} = 0 \\ 0 \quad n_{a} > 0 \right\}$$
(17)

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### IN THE CLAIMS

1. A computerized method for assigning observations comprising:

receiving a plurality of first observations indicative of respective physical parameters observed by a first sensor system and receiving a plurality of second observations indicative of respective physical parameters observed by a second sensor system;

assigning, by a computer, a set of pairs of the first and second observations predicted to correspond to the same physical parameter, the assigning comprising:

receiving a cost function that specifies a cost for each assigned pair, the cost not independent of the assignment of any other assigned pairs in the set of assigned pairs; and

determining the set of assigned pairs corresponding to an optimal value for the cost function by calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations.

2. The method of Claim 1, wherein the directed graph comprises a plurality of nodes each representing an assignment hypothesis, the plurality of nodes comprising a root node, wherein each node except for the root node has an associated input arc representing an assignment decision and wherein the length of each input arc is representative of a change in an assignment score resulting from the assignment decision,

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wherein the plurality of nodes are grouped in a plurality of stages.

- 3. The method of Claim 1, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a network shortest path algorithm.
- 4. The method of Claim 1, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a Dijkstra algorithm.
  - 5. The method of Claim 1, wherein the cost function is

$$J_{z} - \overline{x}^{T} R^{-1} \overline{x} - \sum_{i=1}^{t} \left\{ \underbrace{\partial x_{i}^{T} S_{i}^{-1} \partial x_{i} + \ln ||S_{i}|| - \ln (d_{\min})}_{\overline{g}} \quad a(i) \neq 0 \right\} + \left\{ \underbrace{\ln (|2\pi|)^{M} |R|}_{0} \quad n_{a} = 0 \right\}$$

wherein  $J_{i} = Assignment score$ 

 $\bar{x}$  = Estimate of relative bias

R = Relative registration covariance matrix

 $\delta x_i$  = State vector difference =  $A_i - B_{a(i)} - \vec{x}$ 

 $S_i$  = Residual error covariance for pair  $A_i$  and  $B_{a(i)}$ 

d<sub>min</sub> = Minimum determinant of a residual error
matrix

g = Gate value

M = Number of first observations

 $n_a$  = Number of non-zero entries in a

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- a= Assignment vector:  $a_{(i)}>0 \rightarrow A_i$  is assigned to  $B_{a(i)}$
- A, = The plurality of first observations
- $B_{a(i)}$  = The plurality of second observations assigned to A.
- 6. The method of Claim 5, wherein the relative bias,  $\bar{x}$ , of the cost function is a simple bias.
- 7. The method of Claim 5, wherein the relative bias,  $\bar{x}$ , of the cost function is a functional bias.
- 8. The method of Claim 1, wherein assigning, by a computer, comprises assigning by a processor operable to execute a computer program stored on a computer readable medium.
- 9. The method of Claim 1, wherein assigning, by a computer, comprises assigning by an application specific integrated circuit.
- 10. The method of Claim 1, wherein assigning, by a computer, comprises assigning by a digital signal processor.
- 11. A computerized method for determining the Nbest observation assignments comprising:

receiving a plurality of first observations indicative of respective physical parameters observed by a first sensor system and receiving a plurality of second observations

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indicative of respective physical parameters observed by a second sensor system;

determining, by a computer, one or more sets of pairs of the first and second observations predicted to correspond to the same physical parameter, the determining comprising:

receiving a cost function that specifies a cost for each assigned pair;

determining a set of assigned pairs corresponding to an optimal value for the cost function by calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of corresponding first and second observations; and

repeating the determining act until a desired number of best observation assignments is determined.

- 12. The method of Claim 11, wherein the cost specified by the cost function for each assigned pair is not independent of the assignment of any other assigned pairs in the respective set of assigned pairs.
- 13. The method of Claim 11, wherein the directed graph comprises a plurality of nodes each representing an assignment hypothesis, the plurality of nodes comprising a root node, wherein each node except for the root node has an associated input arc representing an assignment decision and wherein the length of each input arc is representative of a change in an assignment score resulting from the assignment

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decision, wherein the plurality of nodes are grouped in a plurality of stages.

- 14. The method of Claim 11, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a network shortest path algorithm.
- 15. The method of Claim 11, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a Dijkstra algorithm.

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16. The method of Claim 11, wherein the cost function is

$$J_{i} - \overline{x}^{T} R^{-1} \overline{x} - \sum_{i=1}^{r} \left\{ \frac{\partial x_{i}^{T} S_{i}^{-1} \partial x_{i} + \ln[|S_{i}|] - \ln(d_{min})}{\overline{g}} \quad a(i) \neq 0 \right\} + \left\{ \frac{\ln([2\pi]^{M} |R|)}{0} \quad n_{a} \approx 0 \right\}$$

wherein  $J_{c} = Assignment score$ 

 $\bar{x}$  = Estimate of relative bias.

R = Relative registration covariance matrix

 $\delta x_i$  = State vector difference =  $A_i - B_{a(i)} - \overline{x}$ 

 $S_i$  = Residual error covariance for pair  $A_i$  and  $B_{\sigma(i)}$ 

d<sub>min</sub> = Minimum determinant of a residual error
matrix

g = Gate value

M = Number of first observations

na = Number of non-zero entries in a

 $a = Assignment vector: a_{(i)} > 0 \rightarrow A_i$  is assigned to  $B_{a(i)}$ 

 $A_i$  = The plurality of first observations

 $B_{a(t)}$  = The plurality of second observations assigned to A.

- 17. The method of Claim 16, wherein the relative bias,  $\bar{x}$ , of the cost function is a simple bias.
- 18. The method of Claim 16, wherein the relative bias,  $\bar{x}$ , of the cost function is a functional bias.
- 19. The method of Claim 11, wherein assigning, by a computer, comprises assigning by a processor operable to execute a computer program stored on a computer readable medium.

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- 20. The method of Claim 11, wherein assigning, by a computer, comprises assigning by an application specific integrated circuit.
- 21. The method of Claim 11, wherein assigning, by a computer, comprises assigning by a digital signal processor.
- 22. A system for assigning observations comprising: a computer readable medium; and
- a computer program stored on the computer readable medium, the computer program operable, when executed on a processor, to:

receive a plurality of first observations indicative of respective physical parameters observed by a first sensor system and receiving a plurality of second observations indicative of respective physical parameters observed by a second sensor system;

assign a set of pairs of the first and second observations predicted to correspond to the same physical parameter, the assignment comprising:

receiving a cost function that specifies a cost for each assigned pair, the cost not independent of the assignment of any other assigned pairs in the set of assigned pairs; and

determining the set of assigned pairs corresponding to an optimal value for the cost function by calculating, by the computer, a corresponding optimal value for a directed graph

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representative of possible assignments of first and second observations.

- 23. The system of Claim 22, and further comprising a processor operable to execute the computer program.
- 24. The system of Claim 22, wherein the directed graph comprises a plurality of nodes each representing an assignment hypothesis, the plurality of nodes comprising a root node, wherein each node except for the root node has an associated input arc representing an assignment decision and wherein the length of each input arc is representative of a change in an assignment score resulting from the assignment decision, wherein the plurality of nodes are grouped in a plurality of stages.
  - 25. The system of Claim 22, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a network shortest path algorithm.
  - 26. The system of Claim 22, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a Dijkstra algorithm.

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27. The system of Claim 22, wherein the cost function is

$$J_{i} - \overline{x}^{T} R^{-1} \overline{x} - \sum_{i=1}^{t} \left\{ \underbrace{\delta x_{i}^{T} S_{i}^{-1} \delta x_{i} + \ln[|S_{i}|] - \ln(d_{\min})}_{\overline{g}} \quad a(i) \neq 0 \right\} + \left\{ \underbrace{\ln[[2\pi]^{M} |R|)}_{0} \quad n_{a} = 0 \right\}$$

wherein  $J_i$  = Assignment score

 $\bar{x}$  = Estimate of relative bias

R = Relative registration opvariance matrix

 $\delta x_i = \text{State vector difference} = A_i - B_{a(i)} - \overline{x}$ 

 $S_i$  = Residual error covariance for pair  $A_i$  and  $B_{a(i)}$ 

 $d_{min}$  = Minimum determinant of a residual error matrix

g = Gate value

M = Number of first observations

 $n_a$  = Number of non-zero entries in a.

 $a = Assignment vector: a_{(i)} > 0 \rightarrow A_i$  is assigned to  $B_{a(i)}$ 

 $A_i$  = The plurality of first observations

 $B_{a(i)}$  = The plurality of second observations assigned to  $A_i$ 

28. The system of Claim 27, wherein the relative bias, x, of the cost function is a simple bias.

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29. A system for assigning observations comprising: a computer operable to receive a plurality of first observations indicative of respective physical parameters observed by a first sensor system and receiving a plurality of second observations indicative of respective physical parameters observed by a second sensor system; and

wherein the computer is further operable to assign a set of pairs of the first and second observations predicted to correspond to the same physical parameter, the assignment. comprising:

receiving a cost function that specifies a cost for each assigned pair, the cost not independent of the assignment of any other assigned pairs in the set of assigned pairs; and

determining the set of assigned pairs corresponding to an optimal value for the cost function by calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations.

- 30. The system of Claim 29, wherein the computer comprising an application specific integrated circuit.
- 31. The system of Claim 29, wherein the computer comprises a processor operable to execute a computer program stored on the computer readable medium.
- 32. The system of Claim 29, wherein the computer further comprises the computer readable medium.

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- 33. The system of Claim 29, wherein the computer comprises a digital signal processor.
  - 34. The system of Claim 29, wherein the computer comprises a field programmable gate array
  - 35. The system of .Claim 29, wherein the computer comprises a means for computing.
  - 36. The system of Claim 29, wherein the directed graph comprises a plurality of nodes each representing an assignment hypothesis; the plurality of nodes comprising a root node, wherein each node except for the root node has an associated input arc representing an assignment decision and wherein the length of each input arc is representative of a change in an assignment score resulting from the assignment decision, wherein the plurality of nodes are grouped in a plurality of stages.
- 37. The system of Claim 29, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a network shortest path algorithm.
  - 38. The system of Claim 29, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second

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observations comprises calculating the optimal value by a Dijkstra algorithm.

39. The system of Claim 26, wherein the cost function is

$$J_{\varepsilon} - \overline{x}^{T} R^{-1} \overline{x} - \sum_{i=1}^{s} \left\{ \underbrace{\delta x_{i}^{T} S_{i}^{-1} \delta x_{i} + \ln[|S_{i}|] - \ln(d_{\min})}_{\overline{g}} \quad a(i) \neq 0 \right\} + \left\{ \underbrace{\ln([2\pi]^{M} |R|)}_{0} \quad n_{\alpha} = 0 \right\}$$

wherein  $J_{\star}$  = Assignment score

 $\bar{x}$  = Estimate of relative bias

R = Relative registration covariance matrix

 $\delta x_i$  = State vector difference =  $A_i - B_{a(i)} - \overline{x}$ 

 $S_i$  = Residual error covariance for pair  $A_i$  and  $B_{a(i)}$ 

d<sub>min</sub> = Minimum determinant of a residual error matrix

g = Gate value

M = Number of first observations

n = Number of non-zero entries in a

a = Assignment vector:  $a_{(i)} > 0 \rightarrow A_i$  is assigned to  $B_{a(i)}$ 

 $A_i$  = The plurality of first observations

 $B_{a(i)}$  = The plurality of second observations assigned to  $A_i$ 

40. The system of Claim 39, wherein the relative bias, x, of the cost function is a simple bias.

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## **REMARKS**

Early and favorable acceptance of this application is respectfully requested. No fees are believed due; however, the Commissioner is authorized to charge any additional fees required by this paper to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P. Attorneys for Applicants

Bradley P. Williams Registration No. 40,227

Attofine

Date: June 28, 2002 2001 Ross Avenue, Suite 600 Dallas, Texas 75201

Phone: 214.953.6447 Fax: 214.661.4447

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# MARKED UP VERSION OF SPECIFICATION AND CLAIMS

#### IN THE SPECIFICATION

Page 2, Equation 6, please amend as follows:

$$P_{a} = \frac{e^{-\bar{x}^{2}R^{-1}\bar{x}^{(1)}/2}}{(2\pi)^{M/2}\sqrt{|R|}} \prod_{i}^{m} \frac{e^{-\left[A_{i}-B_{e(i)}-\bar{x}\right]T\left(P_{i}+Q_{o(i)}\right]^{-1}\left[A_{i}-B_{e(i)}-\bar{x}\right]T^{i}/2}}{(2\pi)^{M/2}\sqrt{|P_{i}+Q_{o(i)}|}}$$
(6)

Page 2, Equation 7, please amend as follows:

$$\delta x_{i} = A_{i} - B_{a(i)} - \overline{x}$$

$$S_{i} = P_{i} + Q_{a(i)}$$

$$J_{a} = -\overline{x}^{T} R^{-1} \overline{x} - \ln[(2\pi)^{M} |R|] - \sum_{i=1}^{m} \left\{ \frac{\partial x_{i}^{T} S_{i}^{-1} \delta x_{i}^{TT} + \ln[S_{i}]}{g} \right\} \quad a(i) \neq 0$$

$$a(i) = 0$$
(7

Page 4, Equation 10, please amend as follows:

$$J_{g^{TR}} = -\sum_{i=1}^{m} \left\{ \begin{bmatrix} A_i - B_{\sigma(i)} \end{bmatrix}^{T} S_i^{-1} \begin{bmatrix} A_i - B_{\sigma(i)} \end{bmatrix}^{(T)} + \ln[|S||] & a(t) \neq 0 \\ a(t) = 0 \end{bmatrix}$$
(10)

Page 6, Equation 12, please amend as follows:

$$\delta f_i^2 = \left[ A_i^f - B_{\alpha(i)}^f \right]^{\mathrm{T}} \left( F_{i,\alpha(i)} \right)^{-1} \left[ A_i^f - B_{\alpha(i)}^f \right]^i + \ln \left( F_{i,\alpha(i)} \right)$$

$$J_{\alpha f} = -\bar{x}^{\mathrm{T}} R^{-1} \bar{x} - \ln \left[ (2\pi)^M |R| \right] - \sum_{i=1}^M \left\{ \delta x_i^{\mathrm{T}} S_i^{-1} \delta x_i^{\mathrm{TT}} + \ln \left[ |S_i| \right] + \delta f_i^2 \quad \alpha(i) \neq 0$$

$$g \quad \alpha(i) = 0$$
(12)

Pages 6, Equation 17, please amend as follows:

$$J_{s} - \overline{x}^{T} R^{-1} \overline{x}^{T} - \sum_{i=1}^{s} \left\{ \delta x_{i}^{T} S_{i}^{-1} \delta x_{i}^{[T]} + \ln[|S_{i}|] - \ln(d_{\min}) \quad a(i) \neq 0 \\ \overline{g} \quad a(i) = 0 \right\} + \left\{ \ln([2\pi]^{M} |R|) \quad n_{a} = 0 \\ 0 \quad n_{a} > 0 \right\}$$
(17)

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## IN THE CLAIMS

1. A computerized method for assigning observations comprising:

receiving a plurality of first observations indicative of respective physical parameters observed by a first sensor system and receiving a plurality of second observations indicative of respective physical parameters observed by a second sensor system;

assigning, by a computer, a set of pairs of the first and second observations predicted to correspond to the same-physical parameter, the assigning comprising:

receiving a cost function that specifies a cost for each assigned pair, the cost not independent of the assignment of any other assigned pairs in the set of assigned pairs; and

determining the set of assigned pairs corresponding to an optimal value for the cost function by calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations.

2. The method of Claim 1, wherein the directed graph comprises a plurality of nodes each representing an assignment hypothesis, the plurality of nodes comprising a root node, wherein each node except for the root node has an associated input arc representing an assignment decision and wherein the length of each input arc is representative of a change in an assignment score resulting from the assignment decision,

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wherein the plurality of nodes are grouped in a plurality of stages.

The method of Claim 1, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a network shortest path algorithm.

The method of Claim 1, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a Dijkstra algorithm.

5. (Amended) The method of Claim 1, wherein the cost function is

$$J_{s} - \overline{x}^{T} R^{-1} \overline{x}^{(T)} - \sum_{i=1}^{s} \left\{ \delta x_{i}^{T} S_{i}^{-1} \delta x_{i}^{(T)} + \ln[|S_{i}|] - \ln(d_{\min}) \middle| \begin{array}{c} a(i) \neq 0 \\ a(i) = 0 \end{array} \right\} + \left\{ \ln([2\pi]^{M} |R|) & n_{a} = 0 \\ 0 & n_{a} > 0 \right\}$$

wherein

= Assignment score J,

= Estimate of relative bias

= Relative registration covariance matrix

= State vector difference =  $A_I - B_{a(i)} - \overline{x}$ 8x,

= Residual error covariance for pair  $A_i$  and S,

 $B_{a(i)}$ 

= Minimum determinant of a residual error  $d_{min}$ 

matrix = Gate value

= Number of first observations M

= Number of non-zero entries in a

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- a = Assignment vector:  $a_{ij} > 0 \rightarrow A_i$  is assigned to  $B_{a(i)}$
- $A_i$  = The plurality of first observations  $B_{a(i)}$  = The plurality of second observations assigned to  $A_i$
- 6. The method of Claim 5, wherein the relative bias,  $\vec{x}$ , of the cost function is a simple bias.
- 7. The method of Claim 5, wherein the relative bias,  $\vec{x}$ , of the cost function is a functional bias.
- 8. The method of Claim 1, wherein assigning, by a computer, comprises assigning by a processor operable to execute a computer program stored on a computer readable medium.
- 9. The method of Claim 1, wherein assigning, by a computer, comprises assigning by an application specific integrated circuit.
- 10. The method of Claim 1, wherein assigning, by a computer, comprises assigning by a digital signal processor.
- 11. A computerized method for determining the N-best observation assignments comprising:

receiving a plurality of first observations indicative of respective physical parameters observed by a first sensor system and receiving a plurality of second observations

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indicative of respective physical parameters observed by a second sensor system;

determining, by a computer, one or more sets of pairs of the first and second observations predicted to correspond to the same physical parameter, the determining comprising:

receiving a cost function that specifies a cost for each assigned pair;

determining a set of assigned pairs corresponding to an optimal value for the cost function by calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of corresponding first and second observations; and

repeating the determining act, until a desired number of best observation assignments is determined.

- 12. The method of Claim 11, wherein the cost specified by the cost function for each assigned pair is not independent of the assignment of any other assigned pairs in the respective set of assigned pairs.
- 13. The method of Claim 11, wherein the directed graph comprises a plurality of nodes each representing an assignment hypothesis, the plurality of nodes comprising a root node, wherein each node except for the root node has an associated input arc representing an assignment decision and wherein the length of each input arc is representative of a change in an assignment score resulting from the assignment

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decision, wherein the plurality of nodes are grouped in a plurality of stages.

- 14. The method of Claim 11, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a network shortest path algorithm.
- 15. The method of Claim 11, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a Dijkstra algorithm.
- 16. (Amended) The method of Claim 11, wherein the cost function is

$$J_{s} - \overline{x}^{T} R^{-1} \overline{x}^{(T)} - \sum_{i=1}^{s} \left\{ \underbrace{cx_{i}^{T} S_{i}^{-1} cx_{i}^{(T)} + \ln |S_{i}|}_{\widetilde{g}} \right\} - \ln (d_{\min}) \begin{vmatrix} a(i) \neq 0 \\ a(i) = 0 \end{vmatrix} + \left\{ \frac{\ln (|2\pi|^{M} |R|)}{0} & n_{a} = 0 \\ 0 & n_{a} > 0 \right\}$$

wherein

 $J_s$  = Assignment score

 $\bar{x}$  = Estimate of relative bias

R = Relative registration covariance matrix

 $\delta x_i$  = State vector difference =  $A_i - B_{a(i)} - \overline{x}$ 

 $S_i$  Residual error covariance for pair  $A_i$  and

 $B_{a(l)}$ = Minimum determinant of a residual error

matrix

 $d_{min}$ 

g = Gate value

M = Number of first observations

na = Number of non-zero entries in a

34. g . .

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- $a = \text{Assignment vector: } a_{(l)} > 0 \rightarrow A_{l} \text{ is assigned}$   $\text{to } B_{a(l)}$
- $A_i$  = The plurality of first observations  $B_{\sigma(i)}$  = The plurality of second observations assigned to  $A_i$
- 17. The method of Claim 16, wherein the relative bias,  $ar{x}$ , of the cost function is a simple bias.
- 18. The method of Claim 16, wherein the relative bias,  $\bar{x}$ , of the cost function is a functional bias.
- 19. The method of Claim 11, wherein assigning, by a computer, comprises assigning by a processor operable to execute a computer program stored on a computer readable medium.
- 20. The method of Claim 11, wherein assigning, by a computer, comprises assigning by an application specific integrated circuit.
- 21. The method of Claim 11, wherein assigning, by a computer, comprises assigning by a digital signal processor.
- 22. A system for assigning observations comprising: a computer readable medium; and
- a computer program stored on the computer readable medium, the computer program operable, when executed on a processor, to:

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receive a plurality of first observations indicative of respective physical parameters observed by a first sensor system and receiving a plurality of second observations indicative of respective physical parameters observed by a second sensor system;

assign a set of pairs of the first and second observations predicted to correspond to the same physical parameter, the assignment comprising:

receiving a cost function that specifies a cost. for each assigned pair, the cost not independent of the assignment of any other assigned pairs in the set of assigned pairs; and

determining the set of assigned pairs corresponding to an optimal value for the cost function by calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations.

- 23. The system of Claim 22, and further comprising a processor operable to execute the computer program.
- graph comprises a plurality of nodes each representing an assignment hypothesis, the plurality of nodes comprising a root node, wherein each node except for the root node has an associated input arc representing an assignment decision and wherein the length of each input arc is representative of a change in an assignment score

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resulting from the assignment decision, wherein the plurality of nodes are grouped in a plurality of stages.

- 25. The system of Claim 22, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a network shortest path algorithm.
- 26. The system of Claim 22, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a Dijkstra algorithm.
- 27. (Amended) The system of Claim 22, wherein the cost function is

$$J_{s} - \overline{x}^{T} R^{-1} \overline{x}^{(T)} - \sum_{i=1}^{s} \left\{ \frac{\partial x_{i}^{T} S_{i}^{-1} \partial x_{i}^{(T)} + \ln |S_{i}|}{\overline{g}} - \ln (d_{\min}) \quad a(i) \neq 0 \\ 0 \quad n_{a} > 0 \right\} + \left\{ \frac{\ln (|2\pi|^{M} |R|)}{0} \quad n_{a} = 0 \\ 0 \quad n_{a} > 0 \right\}$$

wherein

 $J_{r}$  = Assignment score

 $\bar{x}$  = Estimate of relative bias

R = Relative registration covariance matrix

 $\delta x_i = \text{State vector difference} = A_i - B_{a(i)} - \vec{x}$ 

 $S_i$  = Residual error covariance for pair  $A_i$  and

d<sub>min</sub> = Minimum determinant of a residual error matrix

g = Gate value

M = Number of first observations

 $n_a$  = Number of non-zero entries in a

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- a = Assignment vector:  $a_{(i)} > 0 \rightarrow A_i$  is assigned to  $B_{\sigma(i)}$
- $A_i$  = The plurality of first observations  $B_{a(i)}$  = The plurality of second observations assigned to  $A_i$
- 28. The system of Claim 27, wherein the relative bias,  $\overline{x}$ , of the cost function is a simple bias.
- 29. A system for assigning observations comprising: a computer operable to receive a plurality of first observations indicative of respective physical parameters observed by a first sensor system and receiving a plurality of second observations indicative of respective physical parameters observed by a second sensor system; and

wherein the computer is further operable to assign a set of pairs of the first and second observations predicted to correspond to the same physical parameter, the assignment comprising:

receiving a cost function that specifies a cost for each assigned pair, the cost not independent of the assignment of any other assigned pairs in the set of assigned pairs; and

determining the set of assigned pairs corresponding to an optimal value for the cost function by calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations.

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- 30. The system of Claim 29, wherein the computer comprising an application specific integrated circuit.
- 31. The system of Claim 29, wherein the computer comprises a processor operable to execute a computer program stored on the computer readable medium.
- 32. The system of Claim 29, wherein the computer further comprises the computer readable medium.
- 33. The system of Claim 29, wherein the computer comprises a digital signal processor.
- 34. The system of Claim 29, wherein the computer comprises a field programmable gate array.
- 35. The system of Claim 29, wherein the computer comprises a means for computing.
- comprises a plurality of nodes each representing an assignment hypothesis, the plurality of nodes comprising a root node, wherein each node except for the root node has an associated input arc representing an assignment decision and wherein the length of each input arc is representative of a change in an assignment score resulting from the assignment decision, wherein the plurality of nodes are grouped in a plurality of stages.

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- 37. The system of Claim 29, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a network shortest path algorithm.
- 38. The system of Claim 29, wherein calculating, by the computer, a corresponding optimal value for a directed graph representative of possible assignments of first and second observations comprises calculating the optimal value by a Dijkstra algorithm.
- 39. (Amended) The system of Claim 26, wherein the

$$J_{z} - \overline{x}^{T} R^{-1} \overline{x}^{[T]} - \sum_{i=1}^{z} \left\{ \underbrace{\partial x_{i}^{T} S_{i}^{-1} \partial x_{i}^{[T]} + \ln[|S_{i}|] - \ln(d_{\min})}_{\overline{g}} \quad \begin{vmatrix} a(i) \neq 0 \\ a(i) = 0 \end{vmatrix} + \left\{ \frac{\ln([2\pi]^{M}|R|)}{0} & n_{a} = 0 \\ 0 & n_{a} > 0 \right\}$$

wherein  $J_{\star}$ 

J = Assignment score

 $\bar{x}$  = Estimate of relative bias

R = Relative registration covariance matrix

 $\delta x_i$  = State vector difference =  $A_i - B_{a(i)} - \overline{x}$ 

 $S_i$  = Residual error covariance for pair  $A_i$  and  $B_{air}$ 

dmin = Minimum determinant of a residual error matrix

g = Gate value

M = Number of first observations

 $n_s$  = Number of non-zero entries in a

a = Assignment vector:  $d_{(i)} > 0 \rightarrow A_i$  is assigned to  $B_{a(i)}$ 

 $A_i$  = The plurality of first observations

 $B_{a(i)}$  = The plurality of second observations assigned to  $A_i$ 

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40. The system of Claim 39, wherein the relative bias,  $\bar{x}$ , of the cost function is a simple bias.